

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 09800240-0070  
 First Named Inventor: Stephen A. Boppart  
 Title: MULTI-FUNCTIONAL PLASMON-RESONANT CONTRAST AGENTS FOR OPTICAL COHERENCE TOMOGRAPHY  
 Express Mail Label No. EV 328 248 039 US  
 Date of Deposit: JAN 8, 2004

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ This Form includes the Fee Transmittal (See Box 19)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status. (See 37 CFR 1.27)
3. ☒ Specification (including title page) [Total Pages 45]
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 4]
5. ☐ Declaration and Power of Attorney [Total Pages     ]  
 a. ☐ Newly executed (original or copy)  
 b. ☐ Copy from a prior application (37 CFR 1.63(d))  
 (for continuation/divisional with Box 18 completed)  
 i. ☐ **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s)  
 named in the prior application, see 37 CFR  
 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate

ADDRESS TO: MAIL STOP PATENT APPLICATION  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
 a. ☐ Computer Readable Form (CRF)  
 b. ☐ Specification Sequence Listing on:  
 i. ☐ CD-ROM or CD-R in duplicate; or  
 ii. ☐ paper  
 c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☐ Return Receipt Postcard (MPEP 503)
15. ☐ Certified copy of      Priority Document:  
 Document No.     , filed on     .
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(I).  
 Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:     /      
 Prior application information: Examiner Name:      Group Art Unit:     

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. FEE CALCULATIONS:

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$770.00
	TOTAL CLAIMS			\$18.00	\$
	INDEPENDENT CLAIMS			\$86.00	\$
		ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input type="checkbox"/> NO		\$145.00	\$
				SUBTOTAL	\$

- a. ☐ In connection with this application, the Commissioner is hereby authorized to credit overpayments or to charge any additional fee required to Deposit Account No. 19-3140. **A duplicate copy of this sheet is enclosed.**
- b. ☐ The enclosed credit card payment form to charge the amount of \$      covers the total claim fee and recordation fee.

20. ☐ CUSTOMER NO. 26263

Dated: Jan 8 2004

SIGNATURE: Paul E. Rauch

Paul E. Rauch, Ph.D., Registration No. 38,591